

## CIRCUIT COURT OF ST. LOUIS COUNTY STATE OF MISSOURI

## NEUTRAL QUALIFICATION FORM Pursuant to Local Rule 38

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

Name: _	Sachs	Alan	Arthur-
110.110.	(Last)	(First)	(Middle)
Address:	Haar & Woods, LLP		
	1010 Market Street		
	St. Louis, MO 63101-	2000	
• •	(City)	(State)	(ZIP+4)
Telephon	e Number:(314)241-222	4	
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Facsimile	Number: (314)241-222	7	
Ctata tha	standard bourly foo for your	continue on a noutral (	\$200.00
State the	standard hourly fee for your	services as a fieutial	
List vour	appropriate training or equiv	alent experience in cor	nducting alternative dispute
• .	as required for certification	•	
Attended	three-day training cours	e entitled "Mediation	of Civil Cases"
sponsore	d by MU Law School, Center	r for the Study of Di	spute Resolution,
held Jan	uary 9-11, 2002 in Columb	ia, Missouri. Course	consisted of 20.4
accredit	ed hours of general civil	mediation training,	<u> </u>
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	t all areas of substantive law	•	e or nave been a significant
	ur professional background of law, including acquisitio		
<del>- ,</del> ,	tion, shareholder agreemen	its, emproyment matte	ers, incensing and
commerci	al contracts.		·

8.		e answer to any of the following questions is yes, please explain the circumstance separate sheet and attach.]	S
	a.	Have you ever been disciplined for violation of any code of professional ethics or responsibility? $\underline{\hspace{1cm}}^{\mathbb{NO}}$	r
	b.	Have you ever pled guilty to or been found guilty of a felony?NO	
	C.	Have you ever been found liable for fraud or any other intentional tort?NO	_
	d.	Have you ever had a professional license revoked or suspended for reasons othe than non-payment of dues? $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ $	:r
	e.	Have any professional malpractice judgments been entered against you?NO	_
9.	a neu	he courts and professional organizations in which you currently hold certifications as utral, mediator, arbitrator, or other ADR service provider.  Court, State of Missouri, Twenty-Second Judicial Circuitmediator	S
	Amer	rican Arbitration Associationarbitrator	_
	Schoof to Experiment (bornest cont Legal and	ad legal background, with a focus on business matters. Harvard Law bool. Practicing since 1971, including twenty years as General Counsel two major public companies, one a manufacturer, the other a retailer. erienced in issues relating to the purchase and sale of businesses, estments, corporate and partnership disputes, executive compensation hus, stock option and pension plans), corporate organization and tructuring, employment and non-competition agreements, real estate tracts (leases and brokerage agreements), licenses and commercial contractal practice, prior to becoming General Counsel, encompassed both transactal litigation, including trials. Mature, patient deal-facilitator experiences and conflict.	ion
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	i	(Signature) (Date)	



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## NEUTRAL QUALIFICATION FORM Pursuant to Local Rule 38

support of this application.
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			•	·	
			Address:		Name.
(City)	St. Louis, NO 63101 - 2000	1010 Market Street	Haar & Woods, LLP	(Last)	Sachs
(State)	0			(First)	ALBII
(ZIP+4)				(Middle)	Arthur

Telephone Number: (314)241-2224

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- 4. Facsimile Number: (314)241-2227
- 5. State the standard hourly fee for your services as a neutral. \$200.00
- List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.

Attended three-day training course entitled "Mediation of Civil Cases" sponsored by MU Law School, Center for the Study of Dispute Resolution, held January 9-11, 2002 in Columbia, Missouri. Course consisted of 20.4 accredited hours of general civil mediation training.

.7	Please list all areas of substantive law or experience which are or have been a significar
	part of your professional background or practice.
	Business law, including acquisitions, financings, securities, executive
	compensation, shareholder agreements, employment matters, licensing and
	commercial contracts.

- 8. [If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]
- b. Have you ever pled guilty to or been found guilty of a felony? \_\_\_\_NO\_\_
- d. Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? NO\_\_\_\_
- e. Have any professional malpractice judgments been entered against you? \_\_\_\_\_\_
- List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider. Circuit Court, State of Missouri, Twenty-Second Judicial Circuit--mediator American Arbitration Association--arbitrator
- In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

10.

Broad legal background, with a focus on business matters. Harvard Law School. Practicing since 1971, including twenty years as General Counsel of two major public companies, one a manufacturer, the other a retailer. Experienced in issues relating to the purchase and sale of businesses, investments, corporate and partnership disputes, executive compensation (bonus, stock option and pension plans), corporate organization and restructuring, employment and non-competition agreements, real estate contracts (leases and brokerage agreements), licenses and commercial contracts. Legal practice, prior to becoming General Counsel, encompassed both transactions and litigation, including trials. Mature, patient deal-facilitator experienced in resolving conflict.



February 7, 2002

THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.