



CIRCUIT COURT OF ST. LOUIS COUNTY
STATE OF MISSOURI

NEUTRAL QUALIFICATION FORM
Pursuant to Local Rule 38

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

1. Name: Soraghan Joseph Raymond
(Last) (First) (Middle)
2. Address: 150 N. Meramec
Fourth Floor
St. Louis Missouri 63105-3907
(City) (State) (ZIP+4)
3. Telephone Number: 314-726-1000
4. Facsimile Number: 314-725-6592
5. State the standard hourly fee for your services as a neutral. \$190.00
6. List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.
Association of Attorney Mediators ("AAM") Basic Course --16 hours --April 1994
AAM Advanced Mediation Courses (October 1997 - 8 hours; October 1998 - 8 hours; November 1999 - 5 hours)
33 years practice as an attorney in both litigation and transactional practice
7. Please list all areas of substantive law or experience which are or have been a significant part of your professional background or practice.
Securities law, business and corporate law, both litigation and transactional

8. [If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]

- a. Have you ever been disciplined for violation of any code of professional ethics or responsibility? No
- b. Have you ever pled guilty to or been found guilty of a felony? No
- c. Have you ever been found liable for fraud or any other intentional tort? No
- d. Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No
- e. Have any professional malpractice judgments been entered against you? No

9. List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider.

St. Louis City Circuit Court (22nd Circuit) (neutral)

Federal District Court, Eastern District of Missouri (neutral)

National Association of Securities Dealers, Inc. (mediator and arbitrator)

10. In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

General business and corporate practice for the past 33 years with some concentration in securities law.

Broad experience background, dealing with legal issues, people and disputes:

- 33 years experience practicing law, both commercial litigation and transactional;
- 11 years as member of the Kirkwood Board of Adjustment, 7 years as chairman, in position similar to that of an administrative law judge, adjudication 5-6 cases per meeting;
- frequent arbitrator for National Association of Securities Dealers, Dispute Resolution division;
- frequent mediator for NASD and for City Circuit Court

Use a facilitative method of mediation, but move to evaluative method when parties desire it or when otherwise appropriate.

Oct. 1, 2002
(Date)



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Joseph K. Soraghan
THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.

Oct. 4, 2002
(Date)