

## CIRCUIT COURT OF ST. LOUIS COUNTY STATE OF MISSOURI

## NEUTRAL QUALIFICATION FORM Pursuant to Local Rule 38

I am applying for inclusion on the list of neutrals to be maintained by the Circuit Clerk pursuant to Local Rule 38 of the Circuit Court of St. Louis County. The following information is supplied in support of this application.

١	Name:	Soraghan	Joseph	Raymond				
		(Last)	(First)	(Middle)				
F	Address:	150 N. Meramec						
	,	Fourth Floor		•				
		1001211 1 1001						
		St. Louis	Missouri	63105-3907				
		(City)	(State)	(ZIP+4)				
٦	Γelephone	Number: 314-72	6–1000					
	•							
F	Facsimile I	Number: <u>314-72</u>	5-6592					
	State the c	standard bourly foo	for your services as a neutral	¢100_00				
. `		staridate flourly icc	Tor your services as a fiedhar.	¥170400				
	List your appropriate training or equivalent experience in conducting alternative dispute							
r	esolution	as required for certi	ification under Supreme Court Ru	ıle 17.04.				
A	Associatio	on of Attorney Me	diators ("AAM") Basic Course -	16 hours - April 1994				
			rses (October 1997 - 8 hours;					
		1999 - 5 hours)						
_								
3	33 years practice as an attorney in both litigation and transactional practice							
-	<del></del>			<del></del>				
F	Please list all areas of substantive law or experience which are or have been a significant							
			ground or practice.					
	Securitie	<u>s law, business a</u>	nd corporate law, both litigat	tion and transactional				
-		···	· · · · · · · · · · · · · · · · · · ·	•				
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on a	separate sheet and attach.]
a.	Have you ever been disciplined for violation of any code of professional ethics or responsibility?
b.	Have you ever pled guilty to or been found guilty of a felony?No
C.	Have you ever been found liable for fraud or any other intentional tort? No
d.	Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No
e.	Have any professional malpractice judgments been entered against you? No
51	Louis City Circuit Court (22nd Circuit) (neutral)
In the expe	ederal District Court, Eastern District of Missouri (neutral) ational Association of Securities Dealers, Inc. (mediator and arbitrator)  espace below, briefly describe your experience, qualifications, special areas of rtise, and any other reasons why you should be selected as a neutral.  ral business and corporate practice for the past 33 years with some entration in securities law.
In the expectation of the concession of the conc	ederal District Court, Eastern District of Missouri (neutral) ational Association of Securities Dealers, Inc. (mediator and arbitrator)  e space below, briefly describe your experience, qualifications, special areas of rtise, and any other reasons why you should be selected as a neutral.
In the expectation of the concession of the conc	ederal District Court, Eastern District of Missouri (neutral) ational Association of Securities Dealers, Inc. (mediator and arbitrator)  e space below, briefly describe your experience, qualifications, special areas of rtise, and any other reasons why you should be selected as a neutral.  ral business and corporate practice for the past 33 years with some entration in securities law.
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In the expectation of the concession of the conc	ederal District Court, Eastern District of Missouri (neutral) ational Association of Securities Dealers, Inc. (mediator and arbitrator)  e space below, briefly describe your experience, qualifications, special areas of rise, and any other reasons why you should be selected as a neutral.  ral business and corporate practice for the past 33 years with some entration in securities law.  d experience background, dealing with legal issues, people and disputes:  33 years experience practicing law, both commercial litigation and transaction in position similar to that of an administrative law judge, adjudication 5-6
In the expectation of the concession of the conc	deral District Court, Eastern District of Missouri (neutral) ational Association of Securities Dealers, Inc. (mediator and arbitrator)  e space below, briefly describe your experience, qualifications, special areas of rtise, and any other reasons why you should be selected as a neutral.  ral business and corporate practice for the past 33 years with some entration in securities law.  d experience background, dealing with legal issues, people and disputes:  33 years experience practicing law, both commercial litigation and transaction position similar to that of an administrative law judge, adjudication 5-6 cases per meeting;  frequent arbitrator for National Association of Securities Dealers, Dispute

Od. 1, 7002 (Date)



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Name:	Soraghan	Joseph	Raymond
	. (Last)	(First)	(Midale)
Address:	150 N. Meramec		
	Fourth Floor		
	St. Louis	Hissouri	63105-3907
	- 1	(State)	(ZIP+4)
Telephone	Telephone Number: 314-726-1000		
Facsimile Number:	Number: 314-725-6592		
State the	State the standard hourly fee for your services as a neutral.		\$190.00
List your a	List your appropriate training or equivalent experience in conducting alternative dispute resolution as required for certification under Supreme Court Rule 17.04.	experience in condu	cting alternative dispute e 17.04.
Associati	Association of Attorney Mediators ("AAM") Basic Course - 16 hours	M") Basic Course -	16 hours - April 1994
AAM Advanced Med November 1999 -	AAM Advanced Mediation Courses (October 1997 - 8 hours; October 1998 November 1999 - 5 hours)	r 1997 - 8 hours; 'C	october 1998 - 8 hours;
33 years	33 years practice as an artorney in both litigation and transactional practice	th litigation and I	ransactional practice
Please list	Please list all areas of substantive law or experience which are or have been a significant part of your professional background or practice.	perience which are o	r have been a significant

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[If the answer to any of the following questions is yes, please explain the circumstances on a separate sheet and attach.]

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- c. Have you ever been found liable for fraud or any other intentional tort? \_\_No\_\_\_\_
- Have you ever had a professional license revoked or suspended for reasons other than non-payment of dues? No

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- e. Have any professional malpractice judgments been entered against you? \_\_\_No\_\_\_\_
- List the courts and professional organizations in which you currently hold certifications as a neutral, mediator, arbitrator, or other ADR service provider.
   St. Louis City Circuit Court (22nd Circuit) (neutral)

Federal District Court, Eastern District of Missouri (neutral)
National Association of Securities Dealers, Inc. (mediator and arbitrator)

In the space below, briefly describe your experience, qualifications, special areas of expertise, and any other reasons why you should be selected as a neutral.

General business and corporate practice for the past 33 years with some concentration in securities law.

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Broad experience background, dealing with legal issues, people and disputes:

- 33 years experience practicing law, both commercial litigation and transactional;
- 11 years as member of the Kirkwood Board of Adjustment, 7 years as chairman, in position similar to that of an administrative law judge, adjudication 5-6 cases per meeting;
- frequent arbitrator for National Association of Securities Dealers, Dispute Resolution division;
- frequent mediator for NASD and for City Circuit Court

Use a facilitative method of mediation, but move to evaluative method when parties desire it or when otherwise appropriate.

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Securities law, business and corporate law, both litigation and transactional

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THIS FORM WILL BE MADE AVAILABLE TO THE PARTIES TO ASSIST THEM IN CHOOSING A NEUTRAL.